



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

ISW

Applicant(s): Kalhoff et al.  
Serial No.: 10/539,888  
For: LOCATION-BASED ADAPTATION OF AN INTELLIGENT UNIT  
Filed: December 12, 2005  
Examiner: Thomas K. Pham  
Art Unit: 2121  
Confirmation No.: 1974  
Customer No.: 27,623  
Attorney Docket No.: 2133.095USU

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is:

1. Request for Entry of Priority Claim and English Translation of Priority Document with Certification of Translation;
2. Transmittal letter in duplicate;
3. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

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Date: June 4, 2008

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON June 4, 2008.

Ruth J. Olivo  
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Ruth J. Olivo June 4, 2008  
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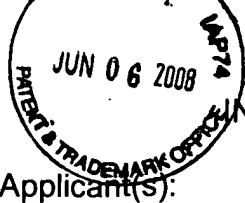
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**REQUEST FOR ENTRY OF PRIORITY CLAIM  
AND SUBMISSION OF PRIORITY DOCUMENT**

Dear Sir:

Applicant hereby requests that a priority claim under 35 U.S.C. §119 be entered in the above-identified application as follows: International Application No. PCT/EP03/14370 filed December 17, 2003, for the above noted application.

We are also enclosing an English translation of the priority document, International Application No. PCT/EP03/14370 filed December 17, 2003, for filing in the above noted application.

It is respectfully requested that this application be passed to allowance.

Respectfully submitted,

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Date: June 4, 2008



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Phoenix Contact GmbH & Co

## Location-based adaptation of an intelligent unit

### Description

- 5 The invention relates to methods and apparatuses for adaptation of an intelligent unit.

Particularly in the case of network systems which comply with a standard, subscribers are conventionally nowadays addressed  
10 by means of addresses. In this case, the subscribers or network components are generally provided with the necessary addresses via address switches which, for example, are fitted to the appropriate appliances or are integrated in plugs for connection of the subscribers, or indirectly via an address  
15 which is associated with the serial number as is the case, for example, with Ethernet, and the downloading of appropriate parameters.

Particularly in the case of network systems, which relate  
20 directly or indirectly to task areas relating to security or safety, this information is, however, frequently not sufficient to produce a reliable application reference and/or location reference. For this reason, security and safety subscribers, such as specific system components or

intelligent units, are nowadays conventionally equipped with additional addresses or configuration options. However, in particular once subscribers are replaced, these additions lead to further or additional handling disadvantages, such as a defined instruction for replacement, and to renewed testing of the application in situ.

No simple "plug and play" solution is currently available.

10

German Laid Open Specification DE-A 198 51 473 discloses coding and verification of a system component which can be connected mechanically for or by a control unit by means of a plug connector which is associated with the component and has a coding device, and a second plug connector, which is connected to an electronic circuit associated with the control unit. According to the disclosure, as the two plug connectors are mated, a signal is transmitted to the coding device that is associated with the component and, in response to the received signal, initiates a coded signal which is transmitted back to the electronic circuit, for identification evaluation.

In consequence, the coding device on whose basis a type of application-based or location-based verification of the system component is carried out by the electronic circuit that is associated with the control unit is itself associated with the component to be connected, however. No simple "plug and play" solution as described above is thus ensured and, in

fact, a solution based on the trial and error principle is described, instead.

One object of the invention is thus to indicate a way in which the problems discussed above and disadvantages of the prior art are overcome and, particularly in the case of network-compatible intelligent units, this ensures configuration of the intelligent units on the basis of the respective application and/or the respective installation location, in order to guarantee reliable and thus simple association, essentially without any further steps.

According to the invention, the object is achieved by a method having the features as claimed in claim 1, by an apparatus having the features of claim 8, and/or by a system having the features of claim 27.

Advantageous and/or preferred embodiments and developments are the subject matter of the respective dependent claims.

20

The invention therefore provides, for adaptation of an intelligent unit, for a configuration device to be associated with a defined application and/or a defined location, in which configuration device application-based and/or location-based configuration data and/or behavior description data can be stored, so that data can be transmitted from the configuration device to a logic device for processing of data for configuration of the intelligent unit.

Since, by means of the configuration device, the invention therefore for the first time allows reliable association between the installation location of an intelligent unit such  
5 as this and the communication connection and/or configuration of the intelligent unit, particularly in fields relating to safety and security, this ensures that, for example when a unit is replaced, just the previous reading of the data which is stored in the configuration device ensures that a unit to  
10 be replaced still satisfies the expected characteristic in the corresponding application.

The invention preferably provides that the intelligent unit be provided with an associated logic device for processing of  
15 data for configuration of the intelligent unit, with this being coupled to the system based on the defined application and/or the defined location in an appropriate manner, and being connected to the configuration device in order to transmit data from the configuration device to the logic  
20 device which is associated with the intelligent unit.

Since, in consequence, the configuration device is associated with the application and/or the point of connection of the intelligent unit, and the intelligent unit is provided, based  
25 on the associated logic device, with the appropriate configuration data and/or behavior description data for configuration of the intelligent unit on an application or location basis, a connection is ensured between the installation location, the characteristic of the intelligent  
30 unit and the response of the unit.

In one particularly preferred development according to the invention, provision is in consequence also made for the application-based and/or location-based data to comprise an address, a component identification, configuration data  
5 and/or information for configuration.

Specific addressing of the respective unit is thus essentially no longer necessary, since this is done via the application-based and/or location-based configuration device  
10 according to the invention, and in consequence the system and/or the overall system addresses the intelligent units safely and reliably, and appropriately organizes the communication connection between the intelligent units for  
15 network-compatible components.

In consequence, one particularly preferred development furthermore proposes that an intelligent unit which has the associated logic device for processing of configuration data and can be connected to the configuration device, which is  
20 associated with a defined application and/or defined location, for storage of application-based and/or location-based data for transmitting data at least from the configuration device to the logic device, can preferably be  
25 included within a network. The adaptation according to the invention of application-based and/or location-based characteristics of the intelligent unit can, however, advantageously be ensured not only for network-compatible components, but also for components which are not  
30 network-compatible.



- In a further preferred embodiment, the configuration device which can be associated with a defined application and/or a defined location is adapted, and can be connected to an intelligent unit which has the associated logic device, in such a way that, furthermore, data of the intelligent unit are transmitted to the configuration device and are stored there, with the configuration device thus furthermore essentially being designed to both receive and store data from the logic device which is associated with the intelligent unit, and/or with the logic device which is associated with the intelligent unit being designed for data transmission to the configuration device.
- Particularly if, according to particularly preferred embodiments, the configuration device is designed for storage, reading and/or processing of further data depending on the specific application, it is possible in a very simple manner to match the data between the intelligent unit and the configuration device, with the additional capability to include, for example, delay time information.

One particularly preferred development furthermore proposes that the data of the configuration device can furthermore be exchanged, read and/or processed by remote control and/or externally, in order to ensure data access in a very simple manner, for example for carrying out an upload or download process for essentially each input/output station, in a very simple manner.

In order to allow the invention to be used in a versatile manner, one practical development of the invention furthermore provides for the steps of storage and/or  
5 transmission of the application-based and/or location-based configuration data to be carried out essentially once, in particular after the inclusion and/or replacement of an intelligent unit, and/or two or more times, in particular in order to ensure updating or adaptation of the configuration  
10 data after selectable time intervals.

The storage and/or the transmission of this data are/is in this case carried out in one expedient embodiment in a protected form, for example using a CRC method (cyclic  
15 redundancy check).

In one refinement, which is expedient from an application-specific point of view, the configuration device, in particular as equipment for an automation system and/or  
20 the intelligent unit, thus has a system component, in which case the provision of the configuration data according to the invention and/or the logic device for processing configuration data comprises application-specific and/or production-specific hardware and/or software elements.

25

The invention furthermore preferably provides for the configuration device to be permanently or detachably connected to the coupling location of the intelligent unit, wherein, in the simplest form, a label which is arranged at

the coupling location, for example with a bar code which has data relating to the location-based and/or application-based function of the intelligent unit, is sufficient in its own right. According to another expedient proposal, the configuration device can be designed as part of permanent wiring, to which the intelligent unit can be coupled, and/or the configuration device can be associated with a connecting device, which is arranged at the coupling location of the intelligent unit, for connection of the intelligent unit.

Complementary means are in each case preferably provided for making the connection between the configuration device and the intelligent unit and/or the logic device, ensuring a unidirectional and/or a bidirectional data transmission connection. The invention in this case provides that the complementary means in this case preferably comprise optical and/or radio connectors. In one expedient embodiment, contact-based, screw-in or plug-in connectors are furthermore proposed, on an application-specific basis.

In this case, the invention advantageously proposes application-specific embodiments in which the logic device which is associated with the configuration device is part of the configuration device, or is part of a further device which can be connected to the configuration device, in particular of a central control device.

The invention furthermore covers the use of an apparatus according to the invention for carrying out the method according to the invention, as well as a system having at least one apparatus according to the invention, in particular for operation of an automation system.

The invention will be described in more detail in the following text using one preferred exemplary embodiment and with reference to the attached drawing, in which:

Figure 1 shows a highly simplified outline sketch of a system for operation of an automation system comprising two or more configuration devices according to the invention, which are each connected to an intelligent unit for its location-based adaptation.

Elements of a standard network system which are essential to the invention, for operation of an automation system, will be described in a highly simplified manner with reference to Figure 1.

In detail, Figure 1 shows a number of intelligent units 11, 12, 13, 14 and 15, which are intended for defined applications and/or defined positions in the network. For example, the intelligent unit 2 which is annotated with the

reference symbol 12 in Figure 1  
is intended for the application location annotated with the  
reference symbol 2.

- 5 The intelligent units 11, 12, 13, 14 and 15 thus each have  
system-specific system components, such as sensors and/or  
actuators, and also have an associated logic device, which is  
not illustrated in any more detail, for processing of data  
for configuration.

10

- For reliable addressing of this intelligent unit 2 and, in  
consequence, for organization of the communication connection  
between the individual intelligent units 11, 12, 13, 14 and  
15, the application location 2 has an associated  
configuration device, a so-called marker, which is annotated  
with the reference symbol 22 in Figure 1, in which  
application-based and/or location-based configuration data is  
stored. In a corresponding manner, markers 21, 24 and 25 such  
as these are arranged in a comparable manner at the  
20 application locations of the further intelligent units 11, 14  
and 15 and have data which is specific for the respective  
application or the respective application location.

- 25 In particular, an appliance number is allocated to the  
respective intelligent unit 11, 12, 14 or 15 via a respective  
marker 21, 22, 24 or 25 such as this, such as an address for  
protected communication and/or the appliance identification,  
which thus represents a permanent characteristic of the  
appliance to be connected or of the intelligent unit to be

included.

Alternatively or additionally, the invention provides for an application-location-specific appliance configuration process to be carried out via a marker 21, 22, 24 or 25 such as this, that is to say essentially to link variable characteristics of the intelligent units to be connected to them, such as predetermining application-location-specific data in order to describe the expected behavior, and/or the function of the intelligent unit to be connected, by means of the marker.

10

In the present example, the marker 21, 22, 24 or 25 thus includes a fixed or loadable configuration on an application-specific basis, which can be predetermined by means of hardware, for example via switches or a circuit, and/or by means of software, in accordance with the requirements.

15

The configuration device, which is annotated as a marker 21, 22, 24 or 25, is, according to the invention, preferably permanently connected to the application location, for example as part of the permanent wiring of the application location. However, depending on the application, it is also possible to provide for configuration devices according to the invention to be connected to the application location such that they can be replaced, for example via a plug or screw connection.

20

25

The connection to the intelligent unit itself, in each case identified by a double-headed arrow in Figure 1, is in this case made in a very simple manner by screwing or plugging a

marker 21, 22, 24 or 25 to or  
onto the respective component 11, 12, 14 or 15, with the aim  
being to produce an electrical connection for data  
transmission at least to the respective logic device, in  
5 particular on a contact-based basis.

The configuration device for this purpose expediently has a  
plug which is designed appropriately for coupling of the  
intelligent unit.

10

However, an alternative embodiment in particular also  
provides for a connection which is suitable for interchanging  
data to be produced via complementary optical and/or radio  
connectors.

15

In an expediently very simple embodiment, the marker is in  
this case arranged in the form of a label or a sticker at the  
application location, in which case a bar code, which has  
location-based and/or application-based adaptation data, can  
20 be scanned by a reader.

The configuration device according to the invention is thus  
always associated with the application location of one  
intelligent unit and contains all the necessary data, such as  
25 the address, appliance identification, data relating to the  
location-based and/or application-based function and/or  
information on configuration or configuration data and/or  
parts thereof, so that the intelligent unit reads the address

which is required for its own configuration and/or reads configuration information from the marker, and/or preferably also transmits information and/or data to the configuration device for data matching,  
5 for example.

The configuration device and/or the intelligent unit are/is thus expediently designed such that both upload and/or download processes can be carried out between the two units.

10

In the event of replacement, that is to say when the intelligent unit 11, 12, 13, 14, or 15 is replaced, the respective marker 21, 22, 24 or 25 thus remains at the application location, and is connected to the appropriately  
15 new intelligent unit after replacement. The location-based communication and component characteristics are thus matched via the marker to the replaced unit, without any additional actions of an application-specific nature. There is thus no longer any need for configuration of the replaced unit via  
20 additional actions, for example via the engineering environment. Once the data matching process has been carried out, reliable operation can thus be started automatically, since a replaced component still provides the expected characteristic, in particular the desired technical features  
25 and/or the configuration of the component in the respective application, and with a connection remaining ensured between the installation location, the characteristic of the component and the response of the component.



This data is expediently in this case stored and/or transmitted in a protected form, for example using a CRC method. The invention thus ensures a location-based configuration of intelligent units, that is to say essentially intelligent system components such as sensors or actuators which include processing logic, and in consequence ensures the association with an application and/or a defined installation location which, in particular, represents a requirement to be ensured in the safety or security environment. In other words, the apparatus according to the invention or the method according to the invention allows reliable association between the installation location of an intelligent unit such as this and the communication connection, and/or the configuration of these components, which represents a major basis for reliable communication between network-compatible units.

However, it should be mentioned that ensuring the characteristic of the intelligent units is also provided for intelligent units which are not network-compatible. Furthermore, the invention also covers embodiments in which the processing logic is part of a further unit which interacts with the relevant intelligent unit, for example a central control unit.

25

The invention furthermore provides for the configuration device additionally to have the capability for storage of further information in an expedient manner, that is to say, for example, that delay time information can also be stored in it, and read from it.

30

The invention also covers embodiments in which the data which is stored in the configuration devices can be varied, can be read and/or can be processed in some other manner, and in particular can be further-processed, by remote control and/or externally, for example by means of a decentralized allocation unit with appropriate processing logic.

Furthermore, the invention can be used on an application-specific basis in such a way that the respective storage and/or reading of the application-based and/or location-based data is carried out as a single process, that is to say in particular after inclusion and/or replacement of an intelligent unit, and/or is preferably carried out as a repeatable process, in order, for example, to ensure updating or adaptation of the configuration data of the intelligent units after selectable or defined time intervals.

The "plug and play" solution that is provided according to the invention can thus be used in a practical manner in essentially all network systems, for example including the Ethernet, and allows simple linking and reliable addressing of essentially all input/output units which have intelligent processing logic.

25

Although the invention has been described with reference to use in automation systems, it should also be noted that further preferred fields of application of the invention

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relate in particular to  
fields of personnel transport and building control  
technology.

Patent claims

1. A method for adaptation of an intelligent unit to an  
5 application and/or an installation location, comprising the  
following steps:

association of a configuration device (21, 22, 24, 25) with  
the defined application and/or a defined location (2), and  
storage of application-based and/or location-based  
10 configuration data and/or behavior description data in the  
configuration device (21, 22, 24, 25) in such a way that  
data can be transmitted from the configuration device (21,  
22, 24, 25) to a logic device for processing of data for  
configuration of the intelligent unit.

15

2. The method as claimed in claim 1, furthermore comprising  
the following steps:

provision of the intelligent unit (11, 12, 13, 14, 15) with  
the associated logic device for processing of data for  
20 configuration of the intelligent unit,

coupling of the intelligent unit to a system which comprises  
the defined application and/or the defined location (2),

connection of the intelligent unit to the configuration  
device (21, 22, 24, 25), and

25 transmission of the data from the configuration device (21,  
22, 24, 25) to the logic device.

3. The method as claimed in claim 1 or 2, furthermore comprising data from the intelligent unit (11, 12, 13, 14, 15) being transmitted to the configuration device (21, 22, 24, 25) and being stored there.

4. The method as claimed in claim 1, 2 or 3, furthermore comprising data matching being carried out between the intelligent unit (11, 12, 13, 14, 15) and the configuration device (21, 22, 24, 25).

5. The method as claimed in one of the preceding claims, furthermore comprising the intelligent unit (11, 12, 13, 14, 15) being included within a network.

6. The method as claimed in one of the preceding claims, furthermore comprising the storage and/or the transmit of the application-based and/or location-based configuration data and/or behavior description data being carried out as a single step, or as a repeatable step.

7. The method as claimed in one of the preceding claims, furthermore comprising the storage and/or the transmit of the application-based and/or type-based configuration data and/or behavior description data securely.

8. An apparatus for carrying out the method as claimed in one of claims 1 to 7.

9. The apparatus as claimed in claim 8, comprising

5 an intelligent unit (11, 12, 13, 14, 15) with an associated logic device for processing of data for configuration of the intelligent unit (11, 12, 13, 14, 15) and

a configuration device (21, 22, 24, 25), which is associated with a defined application and/or a defined location (2), for  
10 storage of application-based and/or location-based configuration data and/or behavior description data,

wherein the intelligent unit (11, 12, 13, 14, 15) and the configuration device (21, 22, 24, 25) can be connected to one another in such a way that data can be transmitted at least  
15 from the configuration device (21, 22, 24, 25) to the logic device.

10. The apparatus as claimed in claim 8, comprising

a configuration device (21, 22, 24, 25), which can be  
20 associated with a defined application and/or a defined location (2), for storage of application-based and/or location-based configuration data and/or behavior description data,

wherein the configuration device (21, 22, 24, 25) can be  
25 connected to a logic device for processing of data for configuration of an intelligent unit (11, 12, 13, 14, 15), in

such a way that data can be transmitted at least from the configuration device (21, 22, 24, 25) to the logic device.

5 11. The apparatus as claimed in claim 8, comprising  
an intelligent unit (11, 12, 13, 14, 15) with an associated  
logic device for processing of data for configuration of the  
intelligent unit (11, 12, 13, 14, 15),  
wherein the intelligent unit (11, 12, 13, 14, 15) can be  
10 connected to a configuration device (21, 22, 24, 25), which  
is associated with a defined application and/or a defined  
location (2), for storage of application-based and/or  
location-based configuration data and/or behavior description  
data, in such a way that data can be transmitted at least  
15 from the configuration device (21, 22, 24, 25) to the logic  
device.

12. The apparatus as claimed in one of claims 8 to 11,  
furthermore comprising  
20 the intelligent unit (11, 12, 13, 14, 15) being included  
within a network.

13. The apparatus as claimed in one of claims 8 to 12,  
furthermore comprising  
25 the intelligent unit (11, 12, 13, 14, 15) having a system  
component.

14. The apparatus as claimed in one of claims 8 to 13,  
furthermore comprising

5 the application-based and/or location-based data comprising  
an address, a component identification, configuration data  
and/or data for configuration.

15. The apparatus as claimed in one of claims 8 to 14,  
furthermore comprising

10 the logic device which is associated with the intelligent  
unit (11, 12, 13, 14, 15) being designed for data  
transmission to the configuration device (21, 22, 24, 25).

15 16. The apparatus as claimed in one of claims 8 to 15,  
furthermore comprising

the configuration device (21, 22, 24, 25) being designed to  
receive and store data from the logic device which is  
associated with the intelligent unit (11, 12, 13, 14, 15).

20 17. The apparatus as claimed in one of claims 8 to 16,  
furthermore comprising

the configuration device (21, 22, 24, 25) being permanently  
or detachably connected to the coupling location of the  
intelligent unit (11, 12, 13, 14, 15).



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18. The apparatus as claimed in one of claims 8 to 17, furthermore comprising the configuration device (21, 22, 24, 25) being part of permanent wiring, to which the intelligent unit (11, 12, 13, 14, 15) can be coupled.

19. The apparatus as claimed in one of claims 8 to 18, furthermore comprising the configuration device (21, 22, 24, 25) being associated with a connecting device, which is arranged at the coupling location (2) of the intelligent unit (11, 12, 13, 14, 15), for connection of the intelligent unit (11, 12, 13, 14, 15).

20. The apparatus as claimed in one of claims 8 to 19, furthermore comprising the configuration device (21, 22, 24, 25) being designed for storage, reading and/or processing of further data.

21. The apparatus as claimed in one of claims 8 to 20, furthermore comprising the data of the configuration device (21, 22, 24, 25) being variable, readable and/or processable by remote control and/or externally.

22. The apparatus as claimed in one of claims 8 to 21, furthermore comprising

the configuration  
device (21, 22, 24, 25) and the intelligent unit (11, 12, 13,  
14, 15) having complementary means for provision of a  
unidirectional and/or bidirectional data transmission  
5 connection, in particular using screw-in and/or plug-in  
connectors, a contact-based, optical and/or a radio  
connection.

23. The apparatus as claimed in one of claims 8 to 22,  
10 furthermore comprising  
the configuration device (21, 22, 24, 25) being designed as  
equipment for an automation system.

24. The apparatus as claimed in one of claims 8 to 23,  
15 furthermore comprising  
the configuration device (21, 22, 24, 25) and/or the logic  
device having hardware and/or software elements.

25. The apparatus as claimed in one of claims 8 to 24,  
20 furthermore comprising  
the logic device which is associated with the configuration  
device (21, 22, 24, 25) being part of the configuration  
device or part of a further device which can be connected to  
the configuration device, in particular a central control  
25 device.

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26. Use of an apparatus as claimed in one of claims 8 to 25 for carrying out a method as claimed in one of claims 1 to 7.

5 27. A system having at least one apparatus as claimed in one of claims 8 to 25.

28. The system as claimed in claim 27 for operation of an automation system.

Abstract

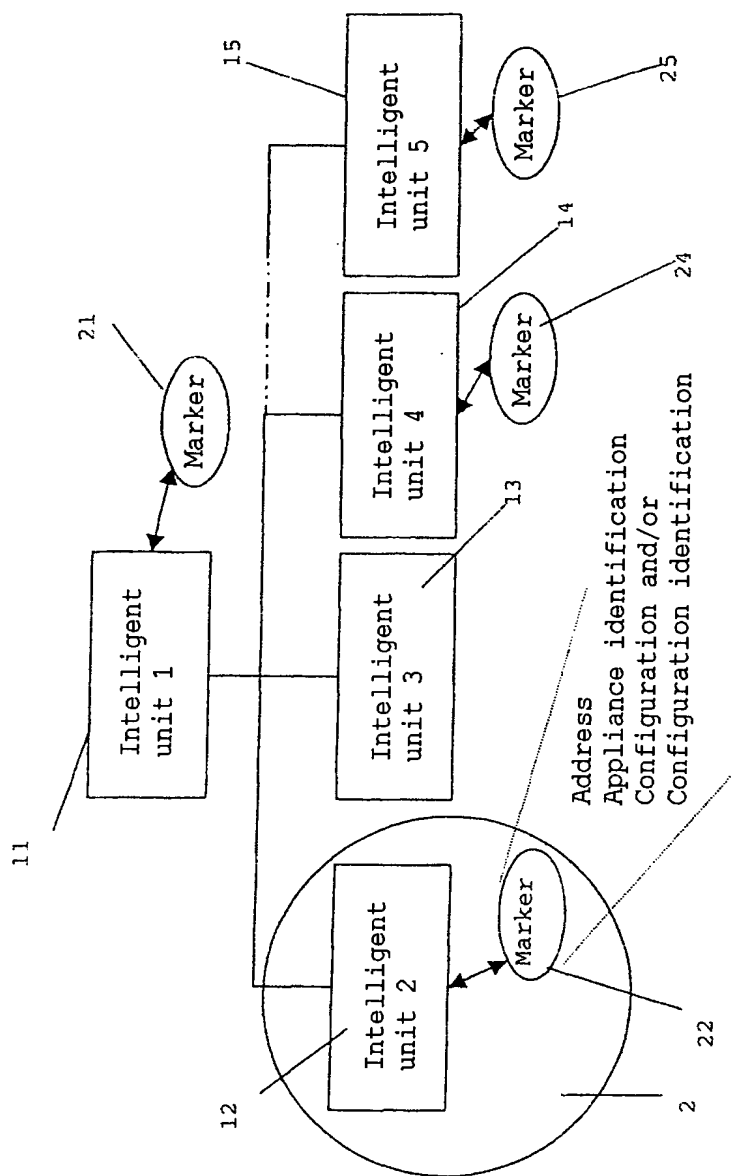
The invention relates to the location-based adaptation of an intelligent unit.

5

One object of the invention is to indicate a way in which, particularly in the case of network-compatible intelligent units, the intelligent units can be configured on the basis of the respective application and/or the respective  
10 installation location in order to ensure reliable, secure and thus simple association, essentially without any further steps.

For adaptation of an intelligent unit, the invention provides  
15 that a configuration device (21, 22, 24, 25) can be associated with a defined application and/or a defined location and have the capability to store application-based and/or location-based configuration data and/or behavior description data, such that data can be transmitted from the  
20 configuration device (21, 22, 24, 25) to a logic device for processing of data for configuration of the intelligent unit (11, 12, 13, 14, 15).

Fig. 1



UNITED STATES PATENT AND TRADEMARK OFFICE

I, Burkart Bill, Patent attorney of Blumbach; Zinngrebe, Saalbaustrasse 11, 64283 Darmstadt, Germany, do hereby certify that I am competent translator well acquainted with the English and German languages and that to the best of my knowledge and belief the following is an accurate English translation of the International application PCT/EP03/14370 as originally filed in the German language.



.....  
Burkart Bill  
Patent Attorney

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